

PROVISION OF SERVICES REGULATIONS SUMMARY SHEET FOR BALDWINS RESTRUCTURING & INSOLVENCY

The following information is designed to draw the attention of interested parties to the information required to be disclosed by the Provision of Services Regulations 2009.

Licensing Bodies

A Little and K Pearson are licensed to act as Insolvency Practitioners in the United Kingdom by the Insolvency Practitioners Association.

I Gould and R Tonks are licensed to act as Insolvency Practitioners in the United Kingdom by the Institute of Chartered Accountants in England and Wales.

A Little, I Gould and R Tonks are members of the Institute of Chartered Accountants in England and Wales.

I Gould is a member of the Association of Chartered Certified Accountants.

A Little and K Pearson are members of the Insolvency Practitioners Association.

Rules Governing Actions

All IPs are bound by the rules of their professional body, including any that relate specifically to insolvency. The rules of the professional bodies that licence Baldwins Restructuring & Insolvency's IPs can be found at <http://www.insolvency-practitioners.org.uk/> and <http://www.icaew.com/en/members/regulations-standards-and-guidance/insolvency/insolvency-regulations-and-guidance> In addition, IPs are bound by the Statements of Insolvency Practice (SIPs), details of which can be found at <https://www.r3.org.uk/what-we-do/publications/professional/statements-of-insolvency-practice>.

Ethics

All IPs are required to comply with the Insolvency Code of Ethics and a copy of the applicable Code can be found at <http://www.insolvency-practitioners.org.uk/regulation-and-guidance/ethics-code> or <http://www.icaew.com/en/technical/insolvency/insolvency-regulations-and-standards>.

Complaints

At Baldwins Restructuring & Insolvency we always strive to provide a professional and efficient service. However, we recognise that it is in the nature of insolvency proceedings for disputes to arise from time to time. As such, should you have any comments or complaints regarding the administration of a particular case then in the first instance you should contact the IP acting as office holder.

If you consider that the IP has not dealt with your comments or complaint appropriately you should then put details of your concerns in writing to our complaints officer Steve Southall at Baldwins, Churchill House, 59 Lichfield Street, Walsall, West Midlands, WS4 2BX. This will then formally invoke our complaints procedure and we will endeavour to deal with your complaint under the supervision of a Director unconnected with the appointment.

Most disputes can be resolved amicably either through the provision of further information or following negotiations. However, in the event that you have exhausted our complaints procedure and you are not satisfied that your complaint has been resolved or dealt with appropriately, you may complain to the regulatory body that licences the insolvency practitioner concerned. Any such complaints should be addressed to The Insolvency Service, IP Complaints, 3rd Floor, 1 City Walk, Leeds, LS11 9DA, and you can make a submission using an on-line form available at www.gov.uk/complain-about-insolvency-practitioner; or you can email insolvency.enquiryline@insolvency.gsi.gov.uk or you may phone 0300 678 0015 - calls are charged at up to 10p per minute from a land line, or for mobiles, between 3p and 55p per minute if you're calling from the UK.

Professional Indemnity Insurance

Baldwins Restructuring & Insolvency's Professional Indemnity Insurance is provided by Chubb European Group Limited, Abbey House, 32 Booth Street, Manchester, M12 4AB. This professional indemnity insurance provides worldwide coverage, excluding professional business carried out from an office in the United States of America or Canada, and any action for a claim bought in any court in the United States of America or Canada.

VAT

Baldwins Restructuring & Insolvency Limited is registered for VAT under registration no. 229 0969 80.